Te Puni Kōkiri
REALISING MĀORI POTENTIAL

14 July 2015

AIDE MEMOIRE

Whānau Ora Navigator Allocation – next steps

Purpose

- This aide memoire outlines the next steps in progressing the allocation of Whānau Ora Navigator funding to Whānau Ora Commissioning Agencies.

Comments

- You discussed the draft briefing of 9 July 2015 ('Revised Whānau Ora Navigator Allocation') with officials on Friday 10 July 2015.
- The next steps in progressing the allocation of Whānau Ora Navigator funding to Whānau Ora Commissioning Agencies are as follows:

Step	Action required	Responsible
Approve/endorse preferred allocation option (13 July 2015)	We require your feedback, approval and endorsement of the allocation options included in the briefing titled 'Revised Whānau Ora Navigator Allocation', including feedback on 2015/16 Navigator funding and the use of contingency funding.	Minister for Whānau Ora
Confirm allocation with Commissioning Agencies (14 – 24 July 2015)	Te Puni Kōkiri will engage Commissioning Agencies to confirm the allocation of funding, including the expected coverage of areas of high need. **Te Pou Matakana** Te Puni Kōkiri has scheduled a meeting with Te Pou Matakana on Wednesday 15 July 2015. This meeting will include the in-principle confirmation of Te Pou Matakana's Navigator allocation, and Te Pou Matakana's proposed coverage of areas of high need. **Te Pūtahitanga o Te Waipounamu** Te Puni Kōkiri will schedule a meeting with Te Pūtahitanga o Te Waipounamu early on the week of 20 July 2015. This meeting will include the in-principle confirmation of Te Pūtahitanga o Te Waipounamu's Navigator allocation, and the possible use of Te Pūtahitanga o Te Waipounamu's 2015/16 commissioning funding to support Navigators.	Te Puni Kōkiri

	Pasifika Futures Te Puni Kōkiri will schedule a meeting with Pasifika Futures as soon as possible. This meeting will include the in-principle confirmation of Pasifika Futures' Navigator allocation.	
Finalise contract variations (14 – 24 July 2015)	Te Puni Kōkiri will finalise the necessary variations to Commissioning Agencies' outcome agreements with Te Puni Kōkiri to reflect the allocation of Navigator funding and the associated payment schedules.	Te Puni Kōkiri
Finalise 2015/16 Annual Investment Plans (27 July – 7 August 2015)	Te Puni Kōkiri will work with Commissioning Agencies to have their Navigator commissioning activity (including performance reporting requirements) reflected within their Annual Investment Plans for the 2015/16 financial year.	Te Puni Kōkiri / Commissioning Agencies
Commissioning Agencies progress procurement activity (10 August – 18 September 2015)	Commissioning Agencies will progress with their procurement of Navigators, including through engaging Whānau Ora partners and provider collectives. This process is expected to take six to eight weeks, however this may be delayed for Te Pūtahitanga o Te Waipounamu based on the outcome of allocation discussions and their ability to contract additional Navigators. Te Puni Kōkiri will offer all necessary support and assistance for Commissioning Agencies in undertaking this procurement activity, including support from regional offices and liaising with Whānau Ora providers.	Commissioning Agencies (with support from Te Puni Kōkiri)

- As Te Puni Kōkiri is still in negotiations with Commissioning Agencies regarding the allocation of Navigator funding, it would be inappropriate to comment publically on the allocation of Whānau Ora Navigator funding to Commissioning Agencies; the continuation of Navigator roles; or the availability of Navigator funding for Whānau Ora providers.
- We strongly recommend any media statements or public comments are delayed until the allocation of Navigator funding has been confirmed with Commissioning Agencies and Navigator procurement activities have begun.
- A brief set of key messages regarding the allocation of Navigator funding are attached for your reference.
- We are available to discuss these steps and provide any further information you may require.

Attachments

- Key messages regarding Whānau Ora Navigator allocation.

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Di Grennell

Manahautū Tuarua Hononga ā Rohe

NOTED
Hon Te Ururoa Flavell Te Minita Whānau Ora
Date:_____ / _____ / 2015

Whānau Ora Navigator Allocation: Key Messages

July 2015

- Te Puni Kōkiri is currently in negotiations with Whānau Ora Commissioning Agencies regarding the allocation of budget funding for Whānau Ora Navigators.
- I am unable to offer comment on the allocation of this funding between Commissioning Agencies while these negotiations are underway.
- My primary concern as Minister for Whānau Ora is that this funding supports whānau and families who are experiencing the greatest need.
- I am confident that Te Puni Kōkiri and Commissioning Agencies are discussing the best possible allocation for the future Navigator workforce.
- As soon as this allocation is finalised, funding to support Navigators will be made available to Commissioning Agencies, who will then commission Whānau Ora providers to engage Navigators.

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Whānau Ora Navigator Allocation

1. Allocation Criteria

Base factors:

Workforce volume / coverage:
The allocation of funding should maintain as closely as possible the level of service coverage for whānau and families provided during Phase One of Whānau Ora. We have allocated approximately the same number of Navigators for the North Island, an increase for the South Island, and an increase for Pacific families across New Zealand.

Population deprivation:
The allocation of funding should target equitably Navigator services toward areas of high population deprivation. We have matched as closely as possible the regional Māori deprivation profile with the same proportion of Whānau Ora Navigators available. The deprivation profile analyses the 140,541 Māori individuals living in areas experiencing the highest decile of deprivation.

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Additional factors:

Funding available:
This allocation has distributed the $5m per annum available from Budget 2014 and $12.4m per annum available from Budget 2015.

Budget 2015 contingency funding:
Nationally, the publicly stated workforce of 232.5 FTEs leaves $1.85m of contingency funding to augment Navigator funding made available to Commissioning Agencies.

Residual funding:
This allocation takes into account any other funding potentially available to commissioning Navigators such as uncommitted 2015/16 commissioning funding.

2. Commissioning Agency factors

Te Pou Matakana

Service coverage:
- High proportion of Phase One Navigators in Te Ika a Māui (approx. of 232.5 FTEs)

Population deprivation:
- High proportion of Māori experiencing deprivation located in Te Ika a Māui (145,954 individuals, 56% of total 140,541)

Specific factors:
- High proportion of 2015/16 FTE contracted Navigators located in Te Ika a Māui (31 Spread 38.5 FTEs), portion of Budget 2015 contingency funding required to cover the 15 FTEs coming off contract in 2015/16

Resulting allocation:
- Budget 2014 – 55 FTEs (already allocated); Budget 2015 – 132.7 FTEs
- Total of 177.7 FTEs from 1 July 2015
- Includes 1.85 FTEs funded out of contingency funding
- Includes additional funding for Pasifika alone contracts expire in 2015/16 (equivalent funding to 7.5 FTEs)

3. Recommended FTE allocation

Out of scope

Region	Te Pou Matakana	Te Puni Kōkiri	Total NI/SI Navigator FTEs	Deprived Māori population	Deprived Pacific population
Te Tai Tokerau	26.0	2.5	28.5 (12%)	17,295 (12%)	1,713 (2%)
Tāmaki Makaurau	46.1	-	46.1 (19%)	34,311 (24%)	75,453 (74%)
Hauraki-Waikato	23.6	5.0	28.6 (12%)	14,460 (10%)	2,595 (3%)
Waiariki	30.0	3.0	33.0 (14%)	23,160 (17%)	2,472 (2%)
Te Tai Hauāuru	27.0	5.0	32.0 (13%)	20,817 (15%)	11,775 (12%)
Ikaroa-Rāwhiti	25.0	16.0	41.0 (17%)	25,908 (18%)	6,546 (6%)
Te Waipounamu	-	7.0*	33.5(14%)***	4,590 (3%)	2,019 (2%)
TOTAL NAVIGATORS	**177.7**	**38.5****	**242.7 (100%)**	**140,541 (100%)**	**102,573 (100%)**
% of total workforce	**69%**	**15%**	**95%**	**N/A**	**N/A**

* Includes 5 Rū Whenua ** Includes 15 roles which come off contract during 2015/16 *** The % allocation is high compared to 3% deprived population, however this includes 10 FTEs funded from commissioning funds.

Whānau Ora Navigator Allocation

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Out of scope

4. Funding distribution

Funding source	Te Pou Matakana		[redacted]	Total	
Budget 2014 (already allocated)	45.0 FTEs	$4.48M	[redacted]	51.0 FTEs	$5.00M
Budget 2015	132.7 FTEs	$10.56M	[redacted]	156.2 FTEs	$12.44M
Commissioning funds	0.0 FTEs	$-M	[redacted]	10.0FTEs	[redacted]
TOTAL funding (FTEs)	177.7 FTEs	$15.04M	[redacted]	217.2 FTEs	$18.24M

5. Advantages of the allocation

[redacted]

[redacted]

[redacted]

[redacted]

6. Outstanding issues/risks/ mitigations

Stakeholder relationships:
- Service disruptions caused by delays in confirming Navigator distribution are likely to negatively affect stakeholder relationships (e.g. with whānau, providers, WOCAs)
- Commissioning Agencies may be dissatisfied with the nature of the funding allocation
- Potential for negative media coverage and political risk
- *Ongoing communication on the approach and prompt finalisation of the allocation will assist with mitigating these risks*

Workforce sustainability:
- Sustaining elements of the 2015/16 Navigator workforce will require additional funding from 1 July 2016
- *To address this officials will brief you on this approach in the coming months, including a plan for developing Budget 2016 bid components, utilising the transfer of MSD funds, or Te Puni Kōkiri departmental budget*

Research and evaluation:
- Commissioning Agencies will be required to report on Navigator outcomes on an ongoing basis
- Reports will include results and outcomes-based measures which will allow for Navigator effectiveness to be measured
- Range of Navigator measurement approaches available

Focus areas ('hotspots'):
- We have held initial discussions with Te Pou Matakana regarding the availability of Navigators to engage particular focus areas such as Kaiti Tauimarunui and Ōpotiki
- We will engage Te Putahitanga o Te Waipounamu and Pasifika Futures on relevant focus areas following confirmation of allocation

Service disruption:
- Disruption in Navigator service may result in difficulties engaging whānau once Navigators are confirmed in their roles
- This may reduce the number of whānau engaged in the first quarter of 2015/16 and the ability to report on Navigator results
- *To mitigate the impact of this risk we have recommended approving the allocation for Pasifika Futures and Te Pou Matakana independently of Te Putahitanga o Te Waipounamu*

Possible criticism:
- Possibility of criticism regarding prescriptive approach to Navigator allocation and a lack of consistency with commissioning model
- Possibility of accusations of favouritism or regional allocation of Navigator resources
- *This risk has been mitigated through allocating accounting for population demand and deprivation*

7. Next steps

Confirm allocation with Commissioning Agencies:
- Following your endorsement of this allocation, we will confirm the allocation of funds with Te Pou Matakana and Pasifika Futures
- Officials will discuss the indicative allocation (including the use of 2015/16 commissioning funds) with Te Pūtahitanga o Te Waipounamu

2015/16 AIPs updated:
- Commissioning Agencies' 2015/16 Annual Investment Plans will then require updating to reflect planned Navigator commissioning activity (including performance / measurement requirements)

Commissioning Agencies engage providers / collectives:
- Commissioning Agencies will then engage providers regarding Navigator engagement
- This process is expected to take at least between six – eight weeks, but may be further delayed for Te Pūtahitanga depending on the outcome of discussions on the proposed allocation and their ability to contract additional navigators