



WHAKATŌHEA

PRE-SETTLEMENT CLAIMS TRUST

MANDATE STRATEGY

April 2016

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The Tū Ake Whakatōhea Collective with the support of the Whakatōhea Maori Trust Board have prepared this comprehensive draft Mandate Strategy and Trust Deed for the proposed Whakatōhea Pre-Settlement Claims Trust to progress treaty negotiations for Te Whakatōhea. This is an acknowledgement to ngā uri, kaumātua, whanau, and hapū who have contributed to the development of these documents to benefit ngā uri katoa o Te Whakatōhea.



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1.1 INTRODUCTION

- 1.1.1. **Te Tiriti o Waitangi** – On 27 May 1840 seven (7) Whakatōhea chiefs signed Te Tiriti o Waitangi in Ōpōtiki. Those chiefs were Tauatoro, Takahi, Aporotanga, Rangimatanuku, Rangihaerepo, Ake, and Whakia. This strategy upholds the mana and significance of Te Tiriti o Waitangi, and provides a pathway for Whakatōhea to address historical claims of Crown breaches against Whakatōhea and Te Tiriti o Waitangi.
- 1.1.2. It is widely acknowledged and accepted that Whakatōhea has suffered significantly as a result of various Crown acts or omissions since 1840. “The Whakatōhea Iwi, centred on Ōpōtiki, have claimed that they were prejudicially affected by the actions of the Crown in confiscating their lands in 1860”¹ (Gillings, 1994, p.1).
- 1.1.3. Whakatōhea first attempted to settle the historical Whakatōhea raupatu claims against the Crown in 1996. Since then, for nineteen (19) years hapū have lead a hapū driven process aimed at settling with the Crown, once and for all, the historical Treaty of Waitangi claims (the **Historical Claims**) of Whakatōhea.
- 1.1.4. In August 2003 an interim working party developed a process report known as the Te Ara Tono mō te Raupatu. This report set out a process by which Whakatōhea could re-engage with the Crown to negotiate a settlement of the Historical Claims. This report was adopted by Whakatōhea at a Hui-ā-Iwi on 26 August 2007.
- 1.1.5. Following the adoption of Te Ara Tono mō te Raupatu, a second group known as the Whakatōhea Raupatu Working Party (**WRWP**) began the work of preparing Whakatōhea to grant a mandate to a representative entity to negotiate the settlement of the Historical Claims. However, the WRWP was not able to finalise a mandating process before Ngāti Ngāhere, Ngāti Rua, Ngāti Patumoana, Ngāti Ira and Ngai Tamahaua hapū withdrew their representatives from the WRWP.
- 1.1.6. In 2010, Ngāti Ira, Ngāti Ngāhere, Ngāti Rua and Ngāti Patumoana regrouped to consider the next steps. This grouping of hapū became known as the Tu Ake Whakatōhea Collective (the **Collective**). The Collective sought assistance from the Whakatōhea Māori Trust Board (**Trust Board**) to engage with Iwi members of Whakatōhea (**Whakatōhea uri**) to identify the most appropriate process by which Whakatōhea could provide a mandate to a representative entity to negotiate the settlement of the Historical Claims. The Trust Board agreed to provide reasonable assistance in accordance with the terms of its governing legislation.
- 1.1.7. The Collective, with the support of the Trust Board, supported a collective approach, where whānau and hapū were encouraged to work together as Iwi in the context of the settlement of the Historical Claims. Through a robust consultation process which is detailed further in this document, the Collective sought to educate Whakatōhea uri, marae and hapū on the settlement process and options available to Whakatōhea to settle the Historical Claims.

¹ Gillings, - Te Raupatu o te Whakatōhea: The Confiscation of Whakatōhea Land 1865-1866.

- 1.1.8. The Collective, with support from the Trust Board, held twenty three (23) education and consultation hui within the Whakatōhea rohe and around the country in order to ascertain the views of Whakatōhea uri and hapū on these important issues. The overall feedback from participants at those hui was that the information presented gave them a better understanding of the Treaty settlement process, Whakatōhea history, and the options available to Whakatōhea to settle the Historical Claims.
- 1.1.9. As a result of these extensive consultations with Whakatōhea uri, marae and hapū, the Collective has a detailed understanding of how our people wish to proceed to settle the Historical Claims. Consultation meetings and correspondence is detailed further in Appendix 1.

1.2 A FRESH APPROACH

- 1.2.1. A number of groups and associated organisations have, since 1996, sought to engage with Whakatōhea uri and hapū with the ultimate purpose of seeking a mandate from Whakatōhea uri to negotiate the settlement of the Historical Claims.
- 1.2.2. Through extensive consultation undertaken by the Collective, it is clear that Whakatōhea uri are seeking the timely settlement of its Historical Claims. It is also clear that the establishment of a new organisation, for the sole purpose of negotiating a settlement of these Historical Claims, is supported by Whakatōhea uri.
- 1.2.3. Accordingly, the Collective has proposed that a new entity, to be called the Whakatōhea Pre-settlement Claims Trust (the **Pre-settlement Trust**), should be established to seek a mandate from Whakatōhea uri. The Collective believes that a new entity, which is appropriately representative of and accountable to Whakatōhea uri, hapū and marae, will be supported by Whakatōhea as the most appropriate entity to pursue negotiations with the Crown.

1.3 TE ARA TONO MO TE RAUPATU

- 1.3.1. As mentioned in 1.1.3 The Te Ara Tono Mo Te Raupatu Document (Te Ara Tono) was adopted by Whakatōhea at a Hui-a-lwi on 26 August 2007. The Tū Ake Whakatōhea Collective has aligned its work program to the best of its ability to Te Ara Tono.

2 TE KAUPAPA

2.1 THE PURPOSE

- 2.1.1. This document sets out:
- a. the basis on which the Pre-settlement Trust is proposed to be established; and
 - b. outlines the process by which the Pre-settlement Trust will seek a durable mandate from Whakatōhea uri, hapū and marae to negotiate the comprehensive settlement of all Whakatōhea Historical Claims.

2.2 THE MANDATE STRATEGY

2.2.1. This Mandate Strategy is set out in four parts. Part A, entitled “*He Kōrero mo Whakatōhea*”, explains who we are, the traditional areas of interest and the nature of the Historical Claims. Part B, entitled “*Te Tirohanga Whakamuri*”, explains the story so far for the Collective and the nature and extent of consultation undertaken by the Collective with Whakatōhea and neighbouring Iwi. Part C, entitled “*The Pre-settlement Trust*”, explains how the Pre-settlement Trust will be established, how it will operate and how it will be accountable to, and representative of, all Whakatōhea uri, hapū and marae. Part D, entitled “*The Proposed Mandate Process*”, explains how the Pre-settlement Trust will seek a mandate from Whakatōhea uri to settle the Historical Claims with the Crown.

2.2.2. More specifically, Part A of this Mandate Strategy:

- a. describes Whakatōhea history as an Iwi;
- b. names the hapū and marae of Whakatōhea;
- c. describes present-day characteristics of Whakatōhea, including population size and associated demographics; and
- d. describes Whakatōhea’s traditional boundary and settlement area.

2.2.3. Part B of this Mandate Strategy:

- a. sets out the story so far for the Collective;
- b. details the consultation that the Collective has undertaken with Whakatōhea Uri, hapū, marae and other Whakatōhea groups; and
- c. details the consultation and engagement between the Collective and neighbouring Iwi.

2.2.4. Part C of this Mandate Strategy:

- a. describes the Pre-settlement Trust, including how hapū and marae members will be represented on the Pre-settlement Trust;
- b. describes how additional Whakatōhea hapū within the Pre-settlement Trust structure can be identified;
- c. outlines the nomination and election process for trustees of the Pre-settlement Trust (the **Trustees**);
- d. describes the Pre-settlement Trust’s roles, accountabilities, decision making processes, monitoring and reporting requirements to claimants, hapū and Iwi;
- e. outlines the purpose of the Whakatōhea Claims Committee, together with its functions and accountabilities;

- f. outlines the Treaty settlement negotiations framework and how the Pre-settlement Trust will appoint negotiators to negotiate with the Crown for the settlement of the Historical Claims; and
- g. outlines the relationship of the Collective and the Trust Board.

2.2.5. Part D of this Mandate Strategy:

- a. describes the proposed mandate process to enable Whakatōhea to vote on whether to accept the Pre-settlement Trust as the mandated body to negotiate the settlement of the Historical Claims.

PART A: HE KŌRERO MO WHAKATŌHEA

3 WHAKATŌHEA TE IWI

3.1 ŌKU TIPUNA - OUR ANCESTORS

- 3.1.1. Ko Tūtāmure rāua ko Muriwai ngā tīpuna. Whakatōhea members derive their whakapapa through Tūtāmure of the Nukutere waka and Muriwai of the Mataatua waka. “*The union between Tūtāmure and Hineīkauā laid the foundation for the Iwi of Whakatōhea on the mana whenua (territorial authority) of Te Panenehu and the mana ariki (chiefly authority) of the Mātaatua waka. Over succeeding generations the names Te Wakanui and Te Panenehu were submerged by the new Iwi of Whakatōhea*”²(Walker, 2006,p.25).
- 3.1.2. On the eastern boundary at Te Rangī where the Nukutere Waka landed around 1250AD our ancestor **Tauturangi** disembarked to form one of the original inhabitants of the area, the **Te Wakanui** people. These were the ancestors of **Tūtāmure** and his people, the **Panenehu**. It is said that Tūtāmure’s influence extended to the Motu, however it is evidenced that the eastern and south eastern boundaries of the Whakatōhea rohe were established during the time of Tūtāmure and the Panenehu people. Tūtāmure was responsible for defining the mana whenua (territorial authority) of the eastern and southern boundaries of Te Panenehu.
- 3.1.3. The **Ngāi Tū people** are descendants of **Taarawa** and settled much of the southern, central and northern regions of the Whakatōhea rohe, including the current Ōpōtiki Township. A number of hapū descend from Ngāi Tū, namely, Ngāti Ngāhere, Ngāti Patumoana, and Ngāi Tamahaua. Amongst some of the well-known descendants of Taarawa were Tamakōmutumutu, Hauoterangi, Tahu and Ruamoko.
- 3.1.4. On the western Whakatōhea boundary the Mataatua Waka arrived approximately eight generations after Nukutere. It is here our ancestor **Muriwai** disembarked and stayed in her cave below Kohi Point in Whakatane. This area is made famous because of her strength in saving the Mataatua Waka from floating out to sea with her quote “Kia whakatāne au i ahau”, and is part of the Mataatua

² Ōpōtiki Mai Tawhiti – Capital of Whakatōhea, Walker, 2006, p. 25.

legend. We also see through her travels and the influence of her children the emergence of important bloodlines connecting Mataatua.

- 3.1.5. Her eldest son **Repanga** married **Ngāpoupereta** daughter of Ranginui-a-tekohu of the Rangimātoru Waka from Ohiwa. Their first son **Tuamutu** had a union with **Ani-i-waho** daughter of Tairongo, a descendant of Hape (Upokorehe Hapū) while their other son **Ruamatarangi** was an ancestor of **Ruatakena** (Ngāti Rua Hapū). From Muriwai's other son, **Rangikurukuru** descends the hapū of Ngāti Ira with links to other hapū, Ngāti Ngāhere and Ngāi Tamahaua.
- 3.1.6. Following the battle of Maungakahia involving his uncle Kahungunu, Tūtāmure returned from there and married Hineīkauīa, the daughter of Muriwai which laid the foundation on the western and northern side of Whakatōhea and the mana ariki (chiefly authority) of the **Mataatua** waka. It is from this union that the hapū of Whakatōhea derives its lineage.
- 3.1.7. However, Muriwai is known because of her tenacity and stubbornness, from which Whakatōhea derives its name. Her influence is further reinforced through an incident involving the drowning of her two sons Tanewhirinaki and Koau where the saying “Mai Ngā Kuri a Wharei ki Tihirau” originates. This kōrero references the tapu placed on the area because of the drowning of her sons and respect to Muriwai herself.

4 NGĀ HAPŪ ME NGĀ MARAE O WHAKATŌHEA

4.1 NGĀ HAPŪ O WHAKATŌHEA

4.1.1. There are six recognised hapū of Whakatōhea and these are listed below:

1	Ngāti Rua
2	Ngāi Tamahaua
3	Ngāti Patumoana
4	Ngāti Ngāhere
5	Ngāti Ira
6	Upokorehe

4.1.2. These hapū have been recognised for some time and, by way of example, are the hapū of Whakatōhea that were identified when the Trust Board was established in 1952.

4.1.3. During the consultation process undertaken by the Collective, a view has been expressed that the traditional list of Whakatōhea hapū may be too restrictive, and that there may be some merit in establishing a process for additional Whakatōhea hapū who wish to be acknowledged and recognised over time. Accordingly, this mandate strategy and the proposed Trust Deed for the Pre-settlement Trust (the **Trust Deed**) provides for a process for additional hapū o Whakatōhea to be recognised and represented on the Pre-settlement Trust.

4.2 NGĀ MARAE O WHAKATŌHEA

4.2.1. Whakatōhea has a number of marae within its tribal boundary. The following eight marae are active and functional:

1	Omarumutu
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2	Opape
3	Waiaua
4	Terere
5	Opeke
6	Roimata
7	Kutarere
8	Maromahue

4.2.2 As noted above, the eight marae of Whakatōhea are active and functional and have trustees in place that have been approved and registered by the Māori Land Court. The role and responsibilities of marae Trustees are governed by the Te Ture Whenua Māori Act 1993, however, their principle function is to look after the interests of the marae for the benefit of uri and hapū o Whakatōhea.

4.2.3 Whakatōhea hapū and marae have been widely consulted in the development of this mandate strategy and both are provided for in the representative structure proposed for the Pre-settlement Trust. Importantly and as noted above, the Pre-settlement Trust structure sets out a clear process for the recognition and representation of additional Whakatōhea hapū.

5 TE MANA TANGATA

5.1 CLAIMANT DEFINITION

5.1.1. For the purposes of settlement, the Whakatōhea claimant group encompasses the whakapapa of:

- a. The descendants of Muriwai and Tūtāmure and;
- b. Affiliate to one or more of the hapū and marae o Whakatōhea, listed at 4.1.1 and 4.2.1.

5.1.2. The definition will ensure that only the Historical Claims of Whakatōhea are settled through the settlement and that all Whakatōhea uri, marae and hapū will be entitled to benefit from that settlement.

5.1.3. Importantly for us, the Iwi of Whakatōhea is not named after one of our ancestors. Instead, our Iwi takes its name from an event. This is important because, unlike other Iwi, Whakatōhea does not trace descent from one eponymous ancestor. We trace our descent as an Iwi from two key ancestors (being those ancestors referred to in paragraph 3.1 above).

5.1.4. The Collective has developed a definition that it considers captures all of the Whakatōhea uri. That definition of Whakatōhea is set out in the Trust Deed. The Pre-settlement Trust Trustees are required to act in the best interests of all uri of Whakatōhea. This mandate strategy is hapū driven and Iwi focussed.

5.2 POPULATION

5.2.1. Statistics NZ have completed the new 2013 census data and the Whakatōhea population for 2013 stands at 12,174.

- 5.2.2. The majority of Whakatōhea uri live in the Bay of Plenty (40.2%), followed by Auckland (19.6%), Waikato (10.5%), Wellington (7.81%), Gisborne (4.77%), Manawatu (3.47%), Hawkes Bay (3.2%), Northland (2.48%), Taranaki (1.2%) and in total for the South Island (6.87%) respectively.
- 5.2.3. Census figures only show those people resident in NZ. The number of Whakatōhea uri is likely to be considerably more than 12,174, with a large number of Whakatōhea uri living elsewhere in the world.
- 5.2.4. It is also noted, that 90% of Whakatōhea uri do not live within the boundaries of Whakatōhea and there are currently no statistics regarding the number of Whakatōhea uri who live overseas.

5.3 WHAKATŌHEA IWI REGISTER

- 5.3.1. A considerable amount of time has been undertaken to update the Whakatōhea Iwi register information on a regular basis. Our current tribal membership, as recorded in the register, totals 11,030 registered members inclusive of tamariki and voting members. These registered members affiliate to hapū o Whakatōhea as follows:

1	Ngāti Rua	3,273
2	Ngāi Tamahaua	1,995
3	Ngāti Patumoana	1,663
4	Ngāti Ngāhere	1,412
5	Upokorehe	1,398
6	Ngāti Ira	<u>1,289</u>
	TOTAL	11,030

- 5.3.2. It is important to note that the number of uri registered on the Whakatōhea register aligns closely with the number of Whakatōhea uri identified in the 2013 census.
- 5.3.3. The Trust Board proposes to provide access to its register of Whakatōhea uri by way of its tribal coordinator to allow the Pre-settlement Trust to communicate directly with a significant proportion of Whakatōhea uri, wherever they reside.

6 TRADITIONAL TRIBAL BOUNDARY AND AREA OF INTEREST

6.1 Commencing at Pakihi, at the mouth of the river along the sea coast to the mouth of the Waitoaha Stream to the mouth of the Ohiwa Harbour to Tehoro (a hill), then turning inland southwards to Puhikoko (a hill) to Pukemoremore (a hill) then to Mapouriki (a hill), at one time a fighting pā. Then descending to Waimana Stream, to Mapouriki; following the Waimana Stream toward its source at Tautautahi along the banks to the mouth of the Pārau Stream; then following Pārau Stream to Tangata-eroha (a hill) on to Kaharoa (an old settlement); from Kaharoa to Pa Harakeke, a ridge leading towards Maungapohatu to Maungatāpere descending into the Motu river to Kaitaura falls to Peketutu (a rock); leaving the river and up a ridge to Whakararonga; following the hill tops until it reaches Tipi O Houmea (a peak) descending towards Makomako (a hill) till it crosses Takaputahi Stream to Ngaupokotangata (a mountain) following the ridge to Kamakama; along the ridge to Oroi then turning seawards to Te Rangi on the sea coast; then along the sea coast to the mouth of the Ōpape Stream to Awahou Stream to Tirohanga and back to Pakihi. (Te Hoeroa Horokai and Heremia Hoera)³(Jones, 1920, p.22)



- 6.1.1. The map depicted below sets out the general area of interest and marae of Whakatōhea for the purposes of the settlement of the Historical Claims.
- 6.1.2. Whakatōhea acknowledges the settlement area of interest overlaps and in some instances is shared with neighbouring Iwi. Shared boundaries exist between Ngai Tuhoe and Ngāti Awa on the Western boundary, Te Aitanga-a-Mahaki on the Southern boundary, and Ngai Tai on the Eastern boundary.
- 6.1.3. The Pre-settlement Trust will work with neighbouring Iwi to find a solution to these shared interests.
- 6.1.4. Subject to a Deed of Mandate being recognised by the Crown, the Collective and the Trust Board will inform the Crown of the processes agreed to by the neighbouring Iwi until such time as the Pre-settlement Trust is in place. From that time, the process for engaging with neighbouring Iwi on Treaty settlement matters will become the responsibility of the Pre-settlement Trust.

7 WHAKATŌHEA HISTORICAL CLAIMS

- 7.1.1. The Pre-settlement Trust seeks to negotiate and settle all historic Treaty claims of Whakatōhea, whether registered with the Waitangi Tribunal or not, for Crown breaches of Te Tiriti o Waitangi that occurred prior to 21 September 1992.

³ Jones, Sim Report, 1920, pg 22

- 7.1.2. The claims to be settled in full and the claims to be settled so far as they relate to Whakatōhea are set out in **Appendix 2** (Whakatōhea WAI claims).
- 7.1.3. Future and contemporary claims for breaches of Te Tiriti o Waitangi after 21 September 1992 are not included in this strategy.
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PART B: HE TIROHANGA WHAKAMURI

8 TE TIROHANGA WHAKAMURI

8.1 What has happened so far?

8.1.1. As noted previously, the Collective, supported by the Trust Board has undertaken a robust consultation and communications process to ensure all those who whakapapa to Whakatōhea have the opportunity to provide their view on the best possible entity to represent them in negotiations for the settlement of their historical Treaty claims.

8.1.2. In this regard, the Collective has engaged with:

- a. Uri o Whakatōhea;
- b. Whakatōhea hapū and marae;
- c. Other Whakatōhea groups listed in Appendix 1; and
- d. Iwi with overlapping interests.

8.2 Hui with ngā uri o Whakatōhea

8.2.1. Over the course of the last five years (2011-2015), meetings with hapū, and marae such as Ngāti Rua, Ngāti Patumoana, Ngāti Ngāhere, Ngāi Tama, Te Upokorēhē, Ngāti Ira, Te Upokorehe Treaty Claims Trust (**TUTCT**), the WRWP and marae listed in 4.2.1, were held, and information relating to the proposed settlement, mandate process and Pre-settlement Trust was presented to meeting attendees.

8.2.2. Between 2011 and 2015 the Collective has hosted a number of hui within the rohe with marae and hapū, and throughout the motu. These information hui were held in various cities and presentations, comprising information relating to the proposed mandate process and the Pre-settlement Trust was explained. Presentations were undertaken with groups in the following centres:

- a. Taumata Kaumātua, Ōpōtiki 8 March 2013
- b. Whakatāne on 14 March 2013
- c. Gisborne on 18 March 2013
- d. Tauranga on 19 March 2013
- e. Rotorua on 20 March 2013
- f. Auckland on 21 March 2013
- g. Whangarei on 26 March 2013
- h. Wellington on 27 March 2013

- i. Christchurch on 3 April 2013
- j. Haahi Ringatū Church, Ōpōtiki 12 April 2013
- k. Ngāti Rua Hapū, 6 May 2013
- l. Hamilton on 7 May 2013
- m. Ngāti Patumoana Hapū, 17 May 2013
- n. Pirihi Whānau Reunion, Ōpōtiki 2 June 2013
- o. Ngāti Ira Hapū, 6 June 2013
- p. Turangi on 9 June 2013
- q. Hastings on 10 June 2013
- r. Te Kaha, on 9 October 2013
- s. Ōpōtiki, on 10 October 2013

8.2.3. These hui were well attended and members agreed with the work completed by the Collective to support obtaining a mandate to negotiate the settlement of the Historical Claims. Ngā uri o Whakatōhea recognised the history associated with the Trust Board's involvement in settlement negotiations and were supportive of the creation of a new entity, which would be responsible for Treaty settlement negotiations with the Crown. The Pre-settlement Trust is proposed to be this new entity.

8.2.4. To further strengthen this mandate strategy, a draft of the mandate strategy was presented to the Iwi on the 11 November 2014 for feedback and submission. 146 submissions were received with 83.56% (122) in full support, 3.43% (5) partial support with amendments and 13.01% (19) not in support. In light of this process, several changes were made to the draft mandate strategy.

8.2.5. It is important to note that this process has been above and beyond Crown policy. Further opportunity to make submissions on the draft mandate strategy will be advertised before any vote is sought.

8.3 Hui with other Whakatōhea groups

8.3.1. The Collective is well aware that other entities seek a mandate to negotiate the settlement of the Whakatōhea historical Treaty claims. Principal amongst those are TUTCT and WRWP.

8.3.2. In relation to WRWP, the Collective has met with representatives a number of times since 2011 and more recently in 2015. The Collective is confident that any issues which the WRWP may have will be addressed through the proposed structure of the Pre-settlement Trust or within the associated committee structure (including the Whakatōhea Claims Committee). In this regard, members from the WRWP may be elected to the Pre-settlement Trust and will certainly be represented on the Whakatōhea Claims Committee, being a group of kaumātua, claimants, uri, whānau, marae and hapū within the proposed structure who will provide guidance to the Trustees and negotiators.

8.3.3. In relation to TUTCT, while the Collective has proposed a number of hui with TUTCT since 2011, they have not always been held. Notwithstanding this, the Collective is confident that any issues which TUTCT may have will not affect settlement negotiations between the Pre-settlement Trust and the Crown. The Collective considers that, based on history and whakapapa, Te Upokorehe is a hapū of Whakatōhea and is not a large natural grouping separate from Whakatōhea. In this regard, any settlement reached with the Pre-settlement Trust will, by claimant definition and definition of the historical claims to be settled, include the historical claims of Te Upokorehe.

8.3.4. A summary of the nature and extent of engagement between the Collective and other Whakatōhea groups is also set out in the Whakatōhea Consultation Report attached as **Appendix 2**.

8.4 Hui with Iwi with overlapping interests

8.4.1. Hapū of the Collective have met with Iwi with overlapping interests throughout the Collectives consultation and communications process. These meetings will continue to occur as the mandate strategy progresses. These have included hui with:

- a. Ngāi Tuhoe; and
- b. Ngāi Tai.

PART C: PROPOSED NEW ENTITY

9 WHAKATŌHEA PRE-SETTLEMENT CLAIMS TRUST

9.1.1. The Whakatōhea Iwi wish to establish an entity to obtain a mandate to negotiate with the Crown a full and final settlement of all Historical Claims of Whakatōhea. In 2007 Whakatōhea agreed to the following recommendation:

- a. That Whakatōhea agree to the Claims Process identified to address major process issues, in particular preparing and adopting the following documents prior to electing representatives and commencing negotiations with the Crown:
 - i. Whakatōhea Strategic Plan
 - ii. Trust Deed for new Pre-Settlement Claims Structure to manage the negotiation Process⁴

9.1.2. The Whakatōhea Iwi considers the role of the Whakatōhea Pre-settlement Claims Trust (Pre-settlement Trust) will be to represent their views in the context of direct negotiations with the Crown. One of the key recommendations from Te Ara Tono was:

- a. “That hapū confirm that they wish to enter into direct negotiations with the Crown while retaining the right to pursue a claim to the Waitangi Tribunal should direct negotiations fail”

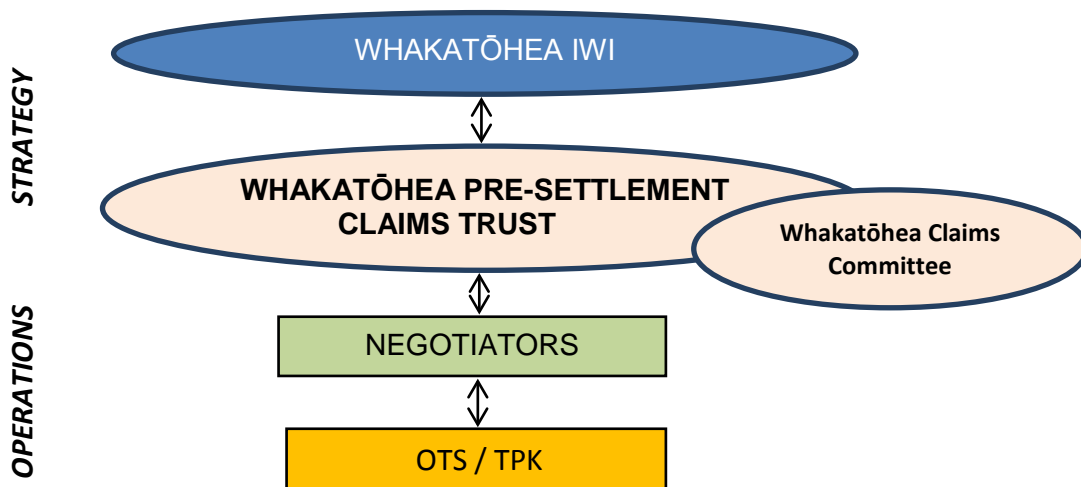
⁴ Te Ara Tono Mo Te Raupatu, 2007 – Te Ara Tono – Claims Process, Page 11

- 9.1.3. The beneficiaries of the Pre-settlement Trust are ngā uri o Whakatōhea, hapū and marae o Whakatōhea.
- 9.1.4. The Pre-settlement Trust is intended to be the mandated entity to negotiate with the Crown.
- 9.1.5. The Pre-settlement Trust seeks the mandate to represent all hapū of Whakatōhea for Treaty settlement negotiations, including but not limited to the recognised hapū of Whakatōhea listed at 4.1.1, and including all Whakatōhea hapū on whose behalf historical claims have been made to the Waitangi Tribunal.
- 9.1.6. The Pre-settlement Trust seeks the mandate to represent all marae of Whakatōhea for Treaty settlement negotiations, including but not limited to the recognised marae o Whakatōhea listed in 4.2.1.
- 9.1.7. A resolution will be presented at mandate hui seeking the establishment of the Pre-settlement trust as the mandated body to represent Whakatōhea and is listed at 21.5.1.
- 9.1.8. The Pre-settlement Trust will be a private trust that will be established pursuant to rules that will ensure that it remains representative of and accountable to the Whakatōhea Iwi. Those rules will be set out in the Trust Deed for the Pre-settlement Trust (the **Trust Deed**).
- 9.1.9. The Pre-settlement Trust is a temporary trust who will operate until such time as a new post settlement governance entity is established for the Iwi of Whakatōhea.
- 9.1.10. The Trust Deed will also provide for a committee of hapū delegates and WAI claimants (as defined in the Trust Deed) to provide non-binding advice and recommendations to the Pre-settlement Trust. This committee will be called the **Whakatōhea Claims Committee**.

OVERVIEW OF THE STRUCTURE OF THE PRE-SETTLEMENT TRUST

9.2 DIAGRAMATIC OVERVIEW

9.2.1. The diagram below sets out the proposed structure for the Pre-settlement Trust:



9.2.2. **By way of summary of the diagram:**

- a. The Whakatōhea Iwi is a collective of hapū who represents the views and interests of all Whakatōhea members no matter where they reside.
- b. The Whakatōhea Iwi represents both registered and non-registered members of Whakatōhea.
- c. The Pre-settlement Trust will be representative of, and accountable to, the Whakatōhea Iwi (through ngā uri, hapū and marae).
- d. The Pre-settlement Trust will comprise hapū and marae Trustees and one member from the Trust Board.
- e. The Whakatōhea Claims Committee will comprise representatives of hapū, named WAI claimants and members of the Kaumātua Kaunihera. This Committee will provide non-binding advice and recommendations to the Pre-settlement Trust.
- f. The Pre-settlement Trust will appoint, and monitor the performance of negotiators.
- g. The negotiators will negotiate directly with the Crown (primarily the Office of Treaty Settlements and also Te Puni Kōkiri and other Crown agencies) for the settlement of the Historical Claims.
- h. The diagram is aligned to the *Concept of Pre-Settlement Claims Structure* described in Appendix A of Te Ara Tono.

9.3 KEY MATTERS

- 9.3.1. In developing the structure for the Pre-settlement Trust, the Collective agreed to review elements of the proposed structure as recommended in Te Ara Tono, and has amended the document where appropriate.⁵ There were a number of issues that included the following:
- a. An analysis of a range of representative models.
 - b. The manner in which the Trustees of the Pre-settlement Trust should be elected or appointed.
 - c. The governance and decision-making processes of the Pre-settlement Trust.
 - d. How the Pre-settlement Trust should report back to Whakatōhea uri, marae and hapū regarding progress on the Treaty settlement negotiations.
 - e. The role and responsibilities of the Whakatōhea Claims Committee.
 - f. The steps for additional Whakatōhea hapū who wish to be recognised on the Pre-settlement Trust.
 - g. The role and responsibilities of the negotiators who will be appointed to negotiate directly with the Crown for the settlement of the Historical Claims.
 - h. The role of the Collective.
 - i. The role of the Trust Board.

10 THE PRE-SETTLEMENT TRUST

10.1 WHAKATŌHEA IWI

- 10.1.1. The Trust Board was established in 1952 when it first received funds to establish its current Trust activities.
- 10.1.2. The Trust Board is the current Mandated Iwi Organisation (MIO) for the receipt of Fisheries and Aquaculture Assets.
- 10.1.3. The Trust Board currently represents Iwi and its role in relation to the settlement of the Historical Claims has been clarified further in this strategy at section 10.

10.2 MARAE REPRESENTATION

- 10.2.1 There are eight marae of Whakatōhea which are active and functional and have trustees in place that have been approved and registered by the Māori Land Court. The role and responsibilities of marae Trustees are governed by the Te Ture Whenua Māori Act 1993, however, their principle function is to look after the interests of the marae for the benefit of uri and hapū o Whakatōhea.
- 10.2.2 One (1) Trustee shall be appointed from each of the eight functional marae as listed in paragraph 4.2.1.

⁵ Te Ara Tono Mo Te Raupatu – Te Roopu Kokiri i te Raupatu – Pre-Settlement Claims Structure, page 11

10.2.3 Appointment of marae trustee shall be made in writing outlining the following:

1. Date of marae trustee meeting held;
2. Minutes of meeting outlining motion for appointment of member;

10.3 HAPŪ REPRESENTATION

10.3.1. This strategy acknowledges Whakatōhea members who are a direct descendent of a Whakatōhea ancestor as described at paragraph 3.1.1.

10.3.2. Hapū play a pivotal role in the negotiations with the Crown as they represent whānau who have been adversely affected from past confiscations and loss of life.

10.3.3. As acknowledged in paragraph 4.1.2, hapū have been recognised for some time and, by way of example, are the hapū of Whakatōhea that were identified when the Trust Board was established in 1952.

10.3.4. As outlined in paragraph 4.1.3, a process for additional Whakatōhea hapū who wish to be acknowledged and be represented on the Pre-settlement Trust has been established and is described below.

10.3.5. The steps to recognising additional Whakatōhea hapū within the Pre-settlement Trust structure include the following:

- a. Individuals, whānau or groups (Requesting Group) request to the Pre-settlement Trust to be acknowledged as an independent hapū on the Pre-settlement Trust.
- b. The Requesting Group must then present their historical evidence to all hapū (via hapū committees) for consideration
- c. All established hapū must assess the request fairly, with consideration that the Requesting Group:
 - i. Descends from a Whakatōhea Ancestor;
 - ii. Has active, functioning marae;
 - iii. Belongs to, or associates with, a maunga (mountain) and awa (river); and
 - iv. Is recognised as a functioning hapū of Whakatōhea
- d. Each hapū committee will make a recommendation to either approve or decline the request to the Pre-settlement Trust, to be tabled by the Hapū Representative
- e. More than 50% (initially four (4) out of six (6)) of hapū approval is required for the request to be accepted, and the Requesting Group can therefore follow the process below to appoint a hapū representative on the Pre-settlement Trust.
- f. 50% or less (initially three (3) out of six (6)) of hapū approval will result in a decline of the request, and the Requesting Group will be encouraged to re-present their evidence to hapū for re-consideration.

10.3.6. One (1) hapū trustee shall be elected from each of the six hapū listed in 4.1.1.

10.3.7. Should additional hapū to the six listed at 4.1.1 be recognised as a result of the process described above, a hapū seat will be available for that hapū on the Pre-settlement Trust.

10.3.8. An election process for additional hapū will need to be undertaken.

10.3.9. Hapū members are encouraged to be a part of the election process as well as the Claims Committee process on all matters of Treaty settlement.

10.3.10. Claimants who have a claim will be encouraged to discuss their claim with the hapū of Whakatōhea who could be directly affected.

11 THE TRUSTEES

11.1.1. It is proposed that the Pre-settlement Trust consist of fifteen (15) Trustees.

- a. One (1) Trustee shall be elected from each of the six hapū listed in paragraph 4.1.1; (sum total being 6 Trustees) and
- b. One (1) Trustee shall be appointed from each of the eight functional marae as listed in paragraph 4.2.1; (sum total being 8 marae Trustees) and
- c. One (1) Trustee shall be appointed by the Whakatōhea Māori Trust Board.

11.1.2. Trustees will hold office for a term of three (3) years.

11.1.3. Hapū Trustees will be elected by ballot, postal, online voting and special votes in accordance with the terms of the Trust Deed. The marae Trustees and the Trust Board Trustee will be appointed by the marae and the Trust Board respectively.

12 NOMINATING, ELECTING AND REPLACING HAPŪ TRUSTEES

12.1 WHAKATŌHEA PRE-SETTLEMENT CLAIMS TRUST NOMINATION PROCESS

12.1.1. The Trust Deed provides for members being Whakatōhea uri 18 years of age and over to be able to nominate members of the principle hapū that they affiliate to for election as a Trustee of the Pre-settlement Trust. There is no limit to the number of nominees who may stand for election as a Trustee. There will only be one (1) Trustee position available for each of the six hapū.

12.1.2. To be accepted, each nomination form is to be signed by five (5) Adult Registered Members of their principal hapū.

12.1.3. Verification of adult registered members will be confirmed by independent elections service providers.

12.1.4. Nominees are expected to provide a profile of their governance, marae and hapū experience.

12.1.5. For those members who are not registered with the Trust Board, a separate verification process will be completed by kaumātua and hapū members. Verification will include confirmation of whakapapa.

12.1.6. The Trust Deed sets out the criteria for eligibility for election as a Trustee.

12.1.7. Nominations from all Adult Registered Members for the election of a Trustee position on the Pre-settlement Trust will be open for a period of twenty one (21) business working days.

12.1.8. Nominations for each hapū trustee will be open for a period of 21 working days starting from the Wednesday 15th June 2016.

12.1.9. Nominations will close on Wednesday 13th July 2016 (noon).

12.2 WHAKATŌHEA PRE-SETTLEMENT CLAIMS TRUST VOTING AND ELECTIONS PROCESS

12.2.1. The elections and voting process for Trustees to the Pre-settlement Trust will be undertaken by an independent company. This is likely to be Independent Elections Services Ltd.

12.2.2. The Trust Deed requires voting papers to be sent to all Adult Registered Members (18 years of age and over) of Whakatōhea descent, within ten (10) working days after nominations close.

12.2.3. Voting for the election of Trustees will be open for a period of no less than twenty one (21) business working days.

12.2.4. Voting will close at 12 noon on the last day of the voting period.

12.2.5. Votes may be cast:

- a. by returning the completed ballot paper to the Chief Returning Officer; or
- b. online provided by the website of the Chief Returning Officer; or
- c. at hui ballot box overseen by or on behalf of the Chief Returning Officer.

12.2.6. Elections will be by 'First Past the Post' (FPP). The highest polling candidate for each hapū will be elected as Trustees.

12.2.7. Each voter is able to cast one vote.

12.2.8. The voting process will be overseen by an independent Chief Returning Officer.

12.2.9. Notice of the result of the election will be published on the Pre-settlement Trust and the Trust Board websites and in provincial newspapers circulating in regions within the area of Whakatōhea's customary interests.

12.3 REPLACEMENT OF TRUSTEES

12.3.1. The removal and replacement of Hapū Trustees is provided for in the Trust Deed. Hapū Trustees are elected by Hapū and will hold office for a term of 3 years.

12.3.2. The trustees of each marae, will appoint and remove their Marae Trustee by notice in writing to the Pre-settlement Trust. Marae Trustees are appointed by their Marae Committee and will hold office for a term of 3 years.

12.3.3.

12.3.4. The Whakatōhea Māori Trust Board will appoint and remove their Trustee by notice in writing to the Pre-settlement Trust. The Whakatōhea Māori Trust Board Trustee is appointed by the Trust Board and will hold office for a term of 3 years.

12.3.5.

12.4 TRUSTEES CEASING TO HOLD OFFICE

12.4.1. The Trust Deed provides further detail regarding when a Hapū Trustee ceases to hold office, including if he or she:

- a. Resigns in writing;
- b. Fails to attend three (3) consecutive meetings of the Trustees without a leave of absence;
- c. Is or becomes bankrupt;
- d. Is or has ever been convicted of a crime involving dishonesty;
- e. Fails to meet the requirements for registration as an officer of charitable entity under the Charities Act 2005.
- f. Dies.

13 GOVERNANCE AND DECISION-MAKING

13.1 GOVERNANCE

13.1.1. The Trustees of the Pre-settlement Trust will:

- a. guide the negotiations and provide final approval on key milestones including Terms of Negotiations, Agreement in Principle signing and Deed of Settlement initialling (subject to Deed of Settlement ratification from Whakatōhea uri);
- b. maintain the highest level of trust and integrity by keeping the interests of Whakatōhea at the forefront of the Treaty settlement process;
- c. report to the Whakatōhea Claims Committee and the wider Whakatōhea Iwi on a monthly basis;
- d. maintain a robust and widely consultative process with ngā uri, whānau, hapū and the Iwi of Whakatōhea;
- e. establish a fair and transparent process for the appointment of negotiators;
- f. oversee and co-ordinate all aspects of negotiations including the contracting of specialist advice when required; and
- g. monitor and report on all financial matters, throughout the mandating process and Treaty settlement negotiations.

13.2 DECISION MAKING

13.2.1. The Trust Deed provides for the following matters:

- a. Trustees will make decisions by way of majority.
- b. Minutes of the Trustees' meetings and resolutions will be kept. These minutes will provide conclusive evidence of the Trustees' proceedings.
- c. Trustees will, if requested, provide records of meetings and decisions to the Whakatōhea Claims Committee.

14 REPORTING BACK TO THE CLAIMANT COMMUNITY

14.1 REGULAR REPORTING TO WHAKATŌHEA

14.1.1. The Pre-settlement Trust, as the mandated entity, will have overall responsibility for reporting back to Whakatōhea uri, marae and hapū, to ensure its mandate is kept current.

14.1.2. The Pre-settlement Trust will report back monthly to Whakatōhea uri, marae and hapū, on the progress of the settlement process including updates and information at key milestones such as:

- a. Achieving Crown recognition of mandate;
- b. Terms of Negotiation;
- c. Agreement in Principle;
- d. Deed of Settlement;
- e. PSGE Structuring;
- f. Ratification of the settlement package and the PSGE which will require hui around the motu and an approved ratification and voting process and;
- g. Progression of research activity and timing of other opportunities for Whakatōhea to tell their story.

14.1.3. The Trustees of the Pre-settlement Trust will hold monthly hui for Iwi members to attend. The Whakatōhea Claimant Committee is one avenue for the Pre-settlement Trust to provide updates on settlement negotiations. Updates on the settlement negotiations will also be posted on the following:

- a. The Pre-settlement Trust's website;
- b. Trust Board's website;
- c. The Pre-settlement Trust's Quarterly newsletter;
- d. Mail out to beneficiaries;
- e. Road show / Information hui;
- f. Face book and twitter;

- g. Hapū and hui ā-lwi;
- h. Media briefings; and
- i. Newspaper advertisements.

14.1.4. In addition, the Trust Deed for the Pre-settlement Trust provides a process for providing adult registered lwi members with the ability to request a special meeting of the Pre-settlement Trust, provided that five percent (5%) of adult registered Pre-settlement Trust members support the request. The special meeting process is described more in the Trust Deed at clause 7.6.

14.2 Ratification of an initialled Deed of Settlement

14.2.1. Importantly, the Pre-settlement Trust will not have authority to sign a Deed of Settlement with the Crown unless that Deed of Settlement has been ratified by Whakatōhea. In this regard, once the Pre-settlement Trust has agreed with the Crown on the nature of any redress package to settle the Historical Claims, it will initial a Deed of Settlement. That initialing indicates to the Crown that the Pre-settlement Trust, as the mandated entity, is comfortable to present the settlement package to Whakatōhea uri for ratification.

15 WHAKATŌHEA CLAIMS COMMITTEE

15.1 PURPOSE

15.1.1. The purpose of the Whakatōhea Claims Committee is to strengthen the “representative” structure of the Pre-settlement Trust by establishing an advisory body to the Pre-settlement Trust. The Whakatōhea Claims Committee membership will enhance the representation of interests within its constituent claimant community.

15.2 COMPOSITION

15.2.1. The Whakatōhea Claims Committee will operate as a subcommittee of the Pre-settlement Trust, sitting inside the Pre-settlement Trust’s structure. The Whakatōhea Claims Committee will include representation of:

- a. Hapū;
- b. Marae;
- c. Kaumātua Kaunihera; and
- d. Registered Waitangi Tribunal (WAI) Claimants.

15.2.2. The groups above have been selected as they are representative of a wide cross-section of the Whakatōhea claimant community.

15.2.3. Individual and whānau claims will be presented to the hapū and lwi for consideration and in turn the negotiations team who will produce a negotiations strategy together.

15.3 APPOINTMENT PROCESS

- 15.3.1. One (1) representative will be appointed from each hapū onto the Whakatōhea Claims Committee in accordance with the kawa of each hapū. The hapū are to inform the Pre-settlement Trust in writing of the appointment, removal and replacement of its representative onto the Whakatōhea Claims Committee.
- 15.3.2. One (1) representative from each of the functioning eight Marae will be appointed onto the Whakatōhea Claims Committee in accordance with the kawa of each Marae. The Marae are to inform the Pre-settlement Trust in writing of the appointment, removal and replacement of its representative onto the Whakatōhea Claims Committee.
- 15.3.3. The Trust Deed provides for the Kaumātua Kaunihera to appoint as many representatives as it wishes to the Whakatōhea Claims Committee. The Kaumātua Kaunihera is to inform the Pre-settlement Trust in writing of the appointment, removal and replacement of its representatives on the Whakatōhea Claims Committee.
- 15.3.4. The named claimant or claimants of each WAI claim may appoint one (1) representative (per WAI claim) to the Whakatōhea Claims Committee. The named claimant or claimants are to inform the Pre-settlement Trust in writing of the appointment, removal and replacement of such representatives.
- 15.3.5. The appointment and removal process are provided for in the Trust Deed.

15.4 ROLE AND FUNCTION

- 15.4.1. The role of the Whakatōhea Claims Committee will be to provide non-binding advice and recommendations to the Pre-settlement Trust on all Treaty settlement matters.
- 15.4.2. In its role as advisor, the Whakatōhea Claims Committee may provide historical research that has been presented to hapū and the Iwi to validate their claim(s) throughout the negotiations process.
- 15.4.3. In its role as advisor, the Whakatōhea Claims Committee may make non-binding recommendations to the Pre-settlement Trustees for sign off of key decisions such as the Agreement in Principle, Deed of Settlement, Ratification and Post Settlement Governance Entity structure.
- 15.4.4. The Whakatōhea Claims Committee can also be a link between the Pre-settlement Trust and the claimant community by promoting an open and transparent process. However, this will be subject to confidentiality at key points of the negotiation.
- 15.4.5. The Pre-settlement Trust may provide some resourcing to the Whakatōhea Claims Committee. Representatives on the Whakatōhea Claims Committee, and those that appoint them, are to be responsible for their own expenses.

16 RESEARCH GROUP

- 16.1.1. The Pre-settlement Trust will be supported by a Research Group headed by a professional historian/researcher, selected by the Pre-settlement Trustees, who is engaged to ensure a robust historical account can be established forming the basis of negotiations and settlement. The Crown will be informed who the professional historian/researcher will represent the Pre-settlement Trust.
- 16.1.2. This Research Group will be supported with members from hapū who will have in-depth knowledge of the history and whakapapa of Whakatōhea.

17 ADVISORS

- 17.1.1. The Pre-settlement Trust will utilise a number of advisors and specialist advisors where appropriate and when required. The Pre-settlement Trust will utilise internal staffing resources and capacity within its claimant groups where appropriate.
- 17.1.2. The Pre-settlement Trust will liaise with kaumātua from each of the hapū for ongoing advice and support to the Pre-settlement Trust in relation to matters of tikanga and whakapapa. As well as liaising with the Whakatōhea Claims Committee for advice from time to time as the Pre-settlement Trust may require.

18 FRAME WORK FOR NEGOTIATIONS

18.1 NEGOTIATING TEAM

- 18.1.1. A negotiating team will be appointed by the Pre-settlement Trust to negotiate a settlement package with the Crown. The negotiators will be given general direction and guidance by the Pre-settlement Trust and will report back throughout negotiations.

18.2 LEAD NEGOTIATOR

- 18.2.1. The Pre-settlement Trust should consider engaging the services of an experienced Treaty negotiator of reputable note and credibility. The lead negotiator's role will be to lead the team of negotiators through key engagements with Ministers and Government officials. A second tier of negotiators may undertake the work required at a more detailed level.
- 18.2.2. In considering the appointment of negotiators, the Pre-settlement Trust will consider the following criteria:
- a. Knowledge of Whakatōhea claims and history;
 - b. Understanding of Whakatōhea values, tikanga, reo, culture;
 - c. Knowledge of Whakatōhea rohe;
 - d. Experience in negotiations, particularly settlement negotiations;
 - e. Understanding of Crown processes;
 - f. Interpersonal skills, including the ability to relate to people; and

g. Such other qualities as may be required.

18.2.3. Beneficiaries of the Pre-settlement Trust may be appointed as negotiators.

18.2.4. Trustees of the Pre-settlement Trust are not eligible to be appointed as negotiators.

18.3 APPOINTMENT AND OR REPLACEMENT OF NEGOTIATOR(S)

18.3.1. The Pre-settlement Trust will confirm, appoint, and replace Negotiator(s) by way of resolution of the Trustees of the Pre-settlement Trust.

18.4 REPORTING PROCESS FOR NEGOTIATOR(S)

18.4.1. The negotiators are accountable to the Pre-settlement Trust and will report to the Pre-settlement Trustees on a monthly basis or more frequently as required. Reports will be copied to the Whakatōhea Claimant Committee to enable it to provide ongoing advice to the Pre-settlement Trustees.

18.5 DECISION MAKING PROCESS FOR NEGOTIATOR(S)

18.5.1. The Negotiators will conduct negotiations with the Crown, but will not make final decisions without the agreement of the Pre-settlement Trustees.

18.6 RESPONSIBILITIES OF NEGOTIATOR(S)

18.6.1. The negotiators will prepare a negotiation strategy that will be confirmed and/or modified by the Trustees, as appropriate. The negotiation strategy will include a detailed plan relating to:

- a. Decisions requiring oversight by Trustees;
- b. The subject matter for negotiations;
- c. Priorities for negotiations;
- d. Negotiation options.

19 TU AKE WHAKATŌHEA COLLECTIVE

19.1.1. Tū Ake Whakatōhea Collective was initiated by hapū o Whakatōhea. Their role is to:

- a. Develop a draft mandate strategy;
- b. Develop a draft Trust Deed for a new entity structure to be called the Pre-settlement Trust;
- c. Communicate widely with whānau, hapū, marae and the wider community to approve the draft mandate strategy and trust deed;
- d. Educate and update whānau, hapū, marae and Iwi members on the mandate process and progress;

19.1.2. The Collective's role will cease once the Pre-settlement Trust is formed. This is aligned with the recommendation in Te Ara Tono, that the stage 1 group 'be disbanded upon the establishment of a

new claims structure and election of representatives (otherwise referred to as the 'Pre-settlement Claims Structure')."⁶

19.1.3. All information developed through the mandate process will be provided to the Pre-settlement Trust as required.

20 WHAKATŌHEA MĀORI TRUST BOARD

20.1.1. The Trust Board has been working together in partnership with the Collective to progress the settlement of Whakatōhea Historical Claims.

20.1.2. The Trust Board currently maintains a register of beneficiaries and will retain the responsibility for updating the register during the mandate and settlement negotiation process. The Trust Board has agreed to the use of its register of beneficiaries for the purposes of voting, including for mandating, election of trustees, confirming members to the Whakatōhea Claims Committee and ratification.

20.1.3. In recognition of the Trust Board's experience and established structures, policies and procedures, the Trust Board may provide assistance, in the form of administration and financial management services to the Pre-settlement Trust. This would be through an agreed arrangement.

20.1.4. Other services available include:

- a. Finance and Auditing;
- b. Communications and Public Relations;
- c. Policies and Procedures;
- d. Tribal Database Management;
- e. Human Resource Management;
- f. Administration Services;
- g. Annual reporting; and other functions as required.

PART D: THE MANDATE STRATEGY

21 WHAKATAKA RAUTAKI MANA WHAKAHAERE

21.1 Mandate hui

21.1.1. The Collective and the Trust Board understand that, in order to ensure that all those who whakapapa to Whakatōhea have the opportunity to take part and engage in a fair, inclusive mandate process, a robust mandating process must be undertaken.

⁶ Te Ara Tono Mo Te Raupatu, 2007 – Nga Whakataunga-Recommendations, page 11

21.1.2. With the Trust Board's previous history in mind and to ensure that the mandating process is robust and fair, the Collective and the Trust Board consider that a similar process to that taken to determine the structure of the Pre-settlement Trust should be followed.

21.2 Hui locations

21.2.1. The Collective therefore proposes to hold mandating hui at the following locations:

Date (2016)	Time	Location
Friday 6 May	6pm	Opotiki
Saturday 7 May	11am	Hamilton
Saturday 7 May	6pm	Auckland
Sunday 8 May	11am	Whangarei
Saturday 14 May	11am	Wellington
Sunday 15 May	11am	Christchurch
Friday 20 May	6pm	Te Kaha
Saturday 21 May	6pm	Gisborne
Sunday 22 May	11am	Tauranga
Friday 27 May	6pm	Rotorua
Saturday 28 May	11am	Hastings
Sunday 29 May	9am	Turangi

21.3 Advertising mandate hui

21.3.1. All mandating hui will be advertised in local and national newspapers. Pānui for the proposed hui will also be provided to local radio, posted on the Trust Board's website and, where possible, included in television broadcasts.

21.4 Mandate Hui presentation

21.4.1. A presentation will be delivered at each mandate hui providing information on the key points of the mandate strategy and the proposed Pre-settlement Trust.

21.5 Resolution to be voted on by Whakatōhea

21.5.1. There is one resolution to be presented at the mandating hui:

“That the Whakatōhea Pre-settlement Claims Trust is mandated to represent Whakatōhea in direct negotiations with the Crown for the comprehensive settlement of all of the historical Treaty of Waitangi claims of Whakatōhea.”

21.6 Records to be kept

21.6.1. The Collective will maintain the following records in relation to the mandating hui:

- a. Copies of all advertisements, pānui and notices of the hui;
- b. Registers of attendance for each hui;
- c. Minutes of the proceedings at each hui; and

d. Schedules of telephone and email queries.

21.7 Voting on the proposed mandate

21.7.1. The register maintained by the Trust Board provides the most comprehensive list of beneficiaries with interests in any proposed mandating process and subsequent settlement. As a consequence, voting will be by ballot, online voting, postal ballot, using the register of beneficiaries maintained by the Trust Board. There will also be a process for Whakatōhea uri who are not registered with the Trust Board to be able to vote. This will ensure that as many uri o Whakatōhea as possible are provided information on the proposed mandate process and Pre-settlement Trust and, in turn, the ability to vote on the resolutions relating to these matters.

21.7.2. Those eligible to vote are Whakatōhea uri aged 18 years and over. Those who are currently enrolled members on the Whakatōhea tribal register maintained by the Trust Board will be able to vote. Whakatōhea uri who are not on the Trust Board register will be able to vote, provided that they complete the necessary documentation to show that they whakapapa to Whakatōhea.

21.7.3. Whakatōhea uri can apply to register for voting purposes (either by becoming registered on the Trust Board register or by registering with the Chief Returning Officer who then receives validation from Whakatōhea Kaumātua.) Registrations can come from any part of New Zealand or overseas. The application for registration is subject to the approval by the hapū trustees of the Trust Board along with Kaumātua from each hapū who can confirm Whakatōhea whakapapa. Both parties are responsible for confirming whakapapa and registration for voting purposes. Votes may be cast:

- a. By posting the completed ballot paper to the Chief Returning Officer;
- b. By submitting the completed ballot paper in a ballot box at a mandating hui; or
- c. Online on the website of the Chief Returning Officer.

21.7.4. Those members who choose not to register with the Whakatōhea Māori Trust Board will complete a separate application to register for voting purposes, which will be subject to the approval by hapū delegate along with Kaumātua from each hapū who are responsible for confirming whakapapa.

21.7.5. The voting process will be overseen by the independent Chief Returning Officer.

21.8 Liaising with Crown Officials

21.8.1. Liaising with Crown officials will occur on a regular basis and as necessary between the Collective, the Pre-settlement Trust (once it is established), Te Puni Kōkiri and OTS, to ensure all parties are kept up to date on the progress of the mandating process.

21.9 Declaration of the voting results

21.9.1. The results will be advertised in local and national newspapers. Pānui for the proposed hui will also be provided to local radio, posted on the Trust Board's website and, where possible, included in television broadcasts.

22 DISPUTE RESOLUTION

22.1 Matters for Dispute Resolution

22.1.1. The Trustees shall, in good faith, take all reasonable steps to resolve any dispute that may arise in connection with:

- a. The terms of the Trust Deed for the Pre-settlement Trust;
- b. Registration as a beneficiary of the Trust Board;
- c. Decisions of the Trustees;
- d. The actions of the Whakatōhea Claimant Committee;
- e. The actions of the negotiators; or
- f. Any group that has concerns regarding the representation of their interests during negotiations.

22.2 Dispute resolution process:

22.2.1. In the event that a complaint or dispute arises, this shall be submitted in writing to the Trustees (a **Dispute Notice**).

22.2.2. Any dispute regarding membership of Whakatōhea or otherwise in connection with the tikanga, reo, kawa, whakapapa and kōrero of Whakatōhea shall be referred by the Pre-settlement Trust to the Kaumātua Kaunihera. The Kaumātua Kaunihera may provide non-binding advice to the Trust on the manner in which the dispute should be resolved.

22.2.3. In the first instance, the Pre-settlement Trust Chairperson (or a delegated member) shall meet with the complainant (the **Petitioner**) within 10 business days of receipt of the Dispute Notice to discuss and understand the issue, seek relevant information where required from the Petitioner, person(s) or group(s) involved in the complaint or dispute.

22.2.4. Following the provision of advice from the Kaumātua Kaunihera or any meeting between the Petitioner and the Chairperson (or a delegated member) the Pre-settlement Trust will then consider the matter, including whether further action is required.

22.2.5. The Petitioner shall be informed of any decisions made by the Trustees.

22.2.6. Should any party disagree with the findings of the Trustees, the Pre-settlement Trust and the complainant may seek to have the dispute resolved by an outside mediator or facilitator.

22.2.7. If the parties are unable to resolve the dispute, the Trustees may convene a Special Meeting at the written request of:

- (i) The Chairperson and Deputy Chairperson for the time being of the Trust or;
- (ii) The majority of the Trustees then in office, or
- (iii) 5% of Adult Registered Members'

The process for dispute resolution is outlined at Special Meetings 7.6 (b) (c) of the Trust Deed.

22.2.8. A Pre-settlement Trust conflict of interest register will be maintained to ensure all conflicts are identified and noted.

22.3 Removing or amending the mandate

22.3.1. In order to amend or withdraw the Pre-settlement Trust's mandate in respect of all or part of the claimant community, the following process must be undertaken.

22.3.2. Written notice of the proposal to withdraw or amend the mandate must be provided to the Chairperson of the Pre-settlement Trust. The written notice must:

- a. Identify whether the proposal seeks to amend or withdraw the mandate in respect of all or part of the claimant community, and if the latter, which part of the claimant community i.e. which hapū;
- b. Identify the concerns of the party seeking to amend or withdraw the mandate in respect of all or part of the claimant community;
- c. Be signed by at least 5% of the adult registered members of Whakatōhea on the register maintained by the Trust Board.

22.3.3. On receipt of the written notice referred to in paragraph 23.3.2, the Pre-settlement Trust will arrange for a meeting to be convened, within two weeks of the date of the written notice, between the party seeking to amend or withdraw the mandate and the Trustees of the Pre-settlement Trust. If this meeting does not resolve the identified concerns, the party seeking to amend or withdraw the mandate may organise five (or greater) publicly notified hui to discuss, withdraw or amend the mandate.

22.3.4. The publicly notified hui must follow the same process and procedures that conferred mandate on the Pre-settlement Trust, as identified above, including (but not limited to):

- a. the provision of sufficient public notice;
- b. the provision of information regarding the likely effects of any proposal to amend or withdraw the mandate; and
- c. the ability for as many Whakatōhea uri as possible to participate in the process (including postal voting).

22.3.5. Once the publicly notified hui have been completed and the outcome of the relevant voting process has been determined, the party seeking to amend or withdraw the mandate must provide a written report, containing copies of public notices advertising the publicly notified hui, attendance registers, minutes etc, to the Pre-settlement Trust and the Office of Treaty Settlements.

22.3.6. On receipt of the written report the Pre-settlement Trust will discuss the proposal to withdraw or amend the mandate and the written report with the Office of Treaty Settlements. If required, the Deed of Mandate may be amended to conform with the results of the voting.

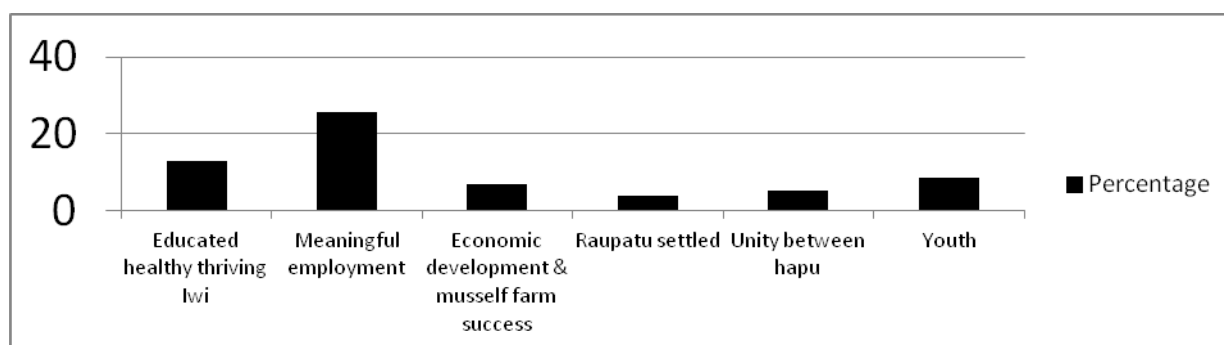
23 LONG TERM STRATEGIC PLAN

23.1 50 Year Strategic Planning

23.1.1. For Te Whakatōhea a settlement of historical grievances could support the aspirations of whanau, hapū and the iwi to move forward from trauma to transformation. Te Whakatōhea has been proactive in developing long term strategies from which new generations can build their future.

23.1.2. In 2010 a Wellbeing Survey was conducted in the rohe of Te Whakatōhea, with 750 descendants of Te Whakatōhea responding. The survey was the first of its kind for Te Whakatōhea and looked at gathering home grown data that will help inform the development of long term strategies. The data collected not only informed service providers within Te Whakatōhea about the effectiveness of services, but it also allowed individuals to put forward their aspirations and dreams for the future of Te Whakatōhea.

23.1.3. The six most popular aspirations from the iwi included the following:



23.1.4. The survey also set the foundation for six key themes from which a wellbeing strategy was developed. The long term strategy is described below:

WHAKATOHEA IWI LONG TERM STRATEGY	
GOALS	BY 2060 WE WILL...
Whakatōheatanga (Culture, Language, Identity) “Kia pūmau ki to tātou Whakatōheatanga”	Be culturally empowered, fully conversant in Te Reo me ngā tikanga o Te Whakatōhea
Hauora (Health) “Whānau ora, hapū ora, ka ora ai te iwi”	Be living beyond the national average age
Mātauranga (Education) “Ko te mātauranga te waka e kawē nei ngā wawata	Be increasing participation and achievement benchmarks of ECE, National Standards, NCEA and skills attainment

<p>Rawa (Economic Development) “Mā te whai rawa ka pūāwai te mana o te Whakatōhea”</p>	<p>Have an abundance of jobs, with flourishing commercial activities, created through collective strength</p>
<p>Manaakitanga (Social) “Mā te whanaungatanga, he tangata kotahi tātou”</p>	<p>Have empowered whānau with strong relationships and the lowest statistics nationally</p>
<p>Toi Ora (Environment) “Te tinorangatiratanga o tātou whenua, o tātou moana, awa me o tātou taonga katoa”</p>	<p>Be active partners and kaitiaki (stewards) of our natural resources</p>

23.1.5. It will be at the discretion of the Pre-settlement Trust to utilise the long term strategy where they feel necessary during negotiations.

Appendix 1

Tū Ake Whakatōhea Collective Hapū Consultation Meetings and Correspondence

DATE: 25th September 2015



Tu Ake
Whakatōhea
Collective

This document has been developed to list dates of meetings and correspondence between the Tū Ake Whakatōhea Collective (TAWC), Hapū, the Working Party (WP) and Te Upokorehe Treaty Claims Trust (TUTCT). These documents follow on from discussions started in 2007.

The document demonstrates the consultation undertaken to form a single mandated body for Whakatōhea. It highlights the level of activity between hapū and the Tū Ake Whakatōhea Collective.

Hapū / Group	Date	Detail
Whakatōhea Working Party	1 May 2012	Working Party & Collective
	17 May 2011	Working Party & Collective
	5 Nov 2011	Working Party & Collective
	11 April 2012	Working Party & Collective
	27 April 2012	Working Party & Collective
	28 May 2012	Working Party & Collective
	10 Oct 2012	Working Party & Collective
	3 Nov 2012	Working Party & Collective
	11 Nov 2012	Working Party & Collective
	2 Dec 2012	Working Party & Collective
	2 Nov 2013	Working Party & Collective meeting
	16 Nov 2013	Working Party & Collective meeting
	9 Dec 2014	Letter to Working Party from Ngāti Ira RE: Ngāti Ira support to TAWC
	21 Feb 15	Working Party & Collective Meeting
	21 March 15	Working Party & Collective Meeting
13 April 15	Discussions with Working Party	
Te Upokorehe Hapū	4 April 1991	Motion signed by Charlie Aramoana (Te

Hapū / Group	Date	Detail
		Upokorehe) on behalf of Whakatōhea regarding Boundaries for Whakatōhea and Ngāti Awa
	19 June 2011	TUTCT & Whakatōhea Taumata Kaumātua Hui minutes
	27 July 2011	Documents sent by TUTCT (Kahukore Baker) regarding Whakatōhea position; Opposition by Toby (Jim) Wikotu and Kahikatea Marae (Rongopopoia) of position presented by Kahukore
	14 Aug 2011	TUTCT & Collective
	28 Jan 2013	Letter Received from TUTCT to TAWC; RE: Whakatōhea meeting with Tuhoe – disapproval of meeting
	8 March 2013	Response from TUTCT to TAWC (Letter) – TUTCT Decision not to engage with TAWC, WMTB or Whakatōhea Taumata Kaumātua
	22 July 2013	Upokorehe letter to TAWC declined to meet with TAWC (following TAWC request to meet). No explanation given.
	17 Nov 2013	Presentation by TAWC to Kutarere Marae Committee – Mandate Strategy and Representation Structure
	19 April 2015	Kutarere Marae - Hapū to Hapū korero – Do you want to be represented by Whakatōhea? If so, how do you want to be represented?
	26 July 2015	Maromahue Marae - Hapū to Hapū korero – Do you want to be represented by Whakatōhea? If so, how do you want to be represented?
	3 Aug 2015	Meeting request letter sent to Roimata Marae
	Sept / Oct 2015	Email Correspondence between TUTCT and TAWC – TUTCT declined to meet with TAWC regarding Whakatōhea Mandate
Ngai Tama Hapū	19 April 2013	2 x Invitation letters from TAWC to Ngai Tama Hapū / Opape Marae Committee – to show presentation
	19 August 2013	Invitation from TAWC to Ngai Tama – to show

Hapū / Group	Date	Detail
		presentation
	6 July 2014	Presentation to Ngai Tama from TAWC – Mandate Strategy
	23 March 2015	Letter received by Ngai Tama – presented by Tracy Hillier, disapproval of mandate strategy at TAWC hui
	3 August 2015	Letter to Ngai Tama – Request to Meet
Hui with Neighbouring Iwi	10 Oct 2012	Whakatōhea & Tuhoe Hui – discussions around shared interests and boundaries
	14 Nov 2012	Collective, Tuhoe Meeting – discussions around Tahora block, shared interests, boundaries and Tuhoe Settlement
UPDATE REPORTS	6 Dec 2012	TAWC Update Report #1
	22 Feb 2013	TAWC Summary Report
	25 Feb 2013	Roadshow Panui
	12 Mar 2013	TAWC Update Report #2
	10 Apr 2013	Roadshow Report
	12 Jul 2013	Activity Report to OTS
	25 Nov 2014	Activity Report to OTS

Appendix 2

The Trust Board lodged a historical claim against the Crown in 1989 for the unjustified confiscation of Whakatōhea land in 1867. The Crown has acknowledged that it treated Whakatōhea unjustly and wishes to settle the grievance of Whakatōhea and build an enduring Treaty relationship with Whakatōhea.

A number of claims have been made to the Waitangi Tribunal that relate to or include historical Treaty claims of Whakatōhea. The following are the historical Treaty claims filed with the Waitangi Tribunal that would be settled should a mandate be conferred on the Pre-Settlement Trust.

The following are the historical Treaty claims filed with the Tribunal that would be settled to the extent that they relate to Whakatōhea should a mandate be conferred to the Pre-Settlement Trust.

1	WAI 87	Whakatōhea Raupatu Claim	The Late Claude Augustus Edwards
2	WAI 203	Mokomoko whanau claim	The Late Tuiringa Mokomoko
3	WAI 287	School History	Ariana Delamere
4	WAI 339	Hiwarau Block	The Late Tuiringa Mokomoko
5	WAI 558	Ngāti Ira o Waioweka Rohe	John Hone Kameta
6	WAI 864	Moutohora quarry	Russell Hollis, John Hata, Len Brown and the Maori Trustee for and on behalf of the owners of Whakapaupakihi 2
7	WAI 1092	Upokorehe Claim	The Late Charles Aramoana
8	WAI 1433		Nepia Whānau Trust
9	WAI 1511	Ngai Tamatea	Keita Hudson
10	WAI 1758	Roimata marae, Upokorehe hapu, Ngati Raumoia Roimata Marae Trust	Wallace Aramoana, Lance Reha, Gaylene Kohunui, W Aramoana, S Aramoana
11	WAI 1775	Ngāti Patu	John Hata
12	WAI 1781	Ngai Tamahaua	Biddle Claim
13	WAI 1782	Ngāti Rua	Paruru Claim
14	WAI 1787	Rongopopoia ki Upokorehe	Hinehou Leef, Mekita Te Whenua, Richard Wikotu, Rocky Ihe, Kahukore Baker
15	WAI 1794	Turangapikitoi hapū	Muriwai Wehi
16	WAI 1795	Ngāti Rua	Tawhirimatea Williams
17	WAI 1884	Ngāti Ngāhere	The Late Tarati Carrington
18	WAI 2006	Upokorehe and Whakatoia hapu	Priscilla Pihitahi Sandys
19	WAI 2008	Pākowhai	Peter Warren
20	WAI 2055	Ngai Tama of Opape	Dr Guy Naden
21	WAI 2066	Ngati Ruatakenga	Takaparae Papuni
22	WAI 2107	Ngati Ngāhere, Ngati Ira	Lee Ann Martin, descendant of Kurei Tamaipaoa and Tuku Maaka, Mokomoko, Te Manawa o Kimohia,

			Mererua Wahine, Karaitiana Ruru, Horikerei
23	WAI 2160	Whakatōhea / Ngāti Muriwai	Theresa McMurtie

Disclaimer

The Collective have taken reasonable care to ensure the list of WAI claims is accurate and complete. Should further information come to light indicating additional Whakatōhea related claims that have not been included in this list, the Collective reserve the right to include them as appropriate.